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| AGREEMENT FOR A CO-SUPERVISED DOCTORAL RESEARCH THESIS BETWEEN UNIVERSITAT AUTÒNOMA DE BARCELONA AND THE UNIVERSITY OF …  Barcelona, ...  On the one hand, Mr. Francisco Javier Lafuente Sancho, Rector of the **Universitat Autònoma de Barcelona (UAB)**, appointed by Decree 131/2020, of 10th November (DOGC No. 8269, of 12th November), acting as legal representative of this Institution, by virtue of the powers derived from article 75, paragraph m) of the Statutes of this University, approved by Decree 237/2003, of 8th October (DOGC No. 3993, of 22nd October); and on his behalf, Mrs. Inmaculada Ponte Marull, Director of the Doctoral School, with the functions conferred upon her.  And on the other hand, ….  Both parties mutually recognise the legal capacity of the other required to formalise this agreement, and  **DECLARE**  **I.** That the UAB is a public institution with legal personality and its own resources, which acts in the basis of autonomy, providing a public service of higher education throughout teaching, research and study. In pursuit of its goals, the UAB carries out teaching, research and scientific and technological development activities and is interested in collaborating with the socioeconomic sectors, both national and international.  **II.** That the University of … is ….  **III**. That, in order to achieve a greater degree of effectiveness and efficiency in the respective fields, both institutions consider it convenient to develop collaborative initiatives in the field of research, establishing collaborations in order to develop joint-supervised thesis projects, in accordance with the provisions of article 15 of Royal Decree 99/2011, of January 28, which regulates official doctoral studies.  In compliance with this, both undersigned institutions agree to sing the present document, and  **AGREE**  **First. Object**  1. The object of this agreement is to establish a framework for collaboration between the UAB and the University of … in relation to the co-tutorship of PhD thesis of Mr. / Ms. [full name of the candidate], with ID Document / passport …, who shall write and defend a doctoral research thesis entitled [Thesis project Title].  2. For the development of the above-mentioned Thesis, the candidate shall be duly registered at both Institutions, according to their respective regulations.  Starting from the academic year [Specify starting academic year] the candidate shall be registered and enrolled at the UAB’s doctoral program in [Specify doctoral program].  Starting from the academic year [Specify starting academic year] the candidate shall be registered and enrolled at the University of … doctoral program in [Specify doctoral program].  3. The academic data and the details of the development of the doctoral thesis project is reflected in the Annex to this agreement.  **Second. Registration and administrative issues**  1. The conditions for the admission, presentation and acceptance of a PhD thesis, within the framework of the co-tutorship system, are stated by the regulations applicable to each of the universities in relation to PhD courses, without violating the following provisions:  1.1. *Regulations applicable to the UAB*. The development of the PhD program and the thesis project at UAB shall comply with its academic regulations and with applicable general legislation in force, in particular Spanish Royal Decree 99/2011, of 28 of January, which regulates official doctorate studies shall be observed.  Additionally, each candidate must comply with the academic and admission requirements established for the PhD programme to which the student has been admitted, along with the general regulations.  1.2. *Regulations applicable to the University of …*. The development of the PhD program and the thesis project at University of … shall comply with its academic regulations and with applicable general legislation in force.  Additionally, each candidate must comply with the academic and admission requirements established for the PhD programme to which the student has been admitted, along with the general regulations.  2. The candidate shall carry out his/her thesis project under the supervision and responsibility of a thesis supervisor in each of the two participating universities, who will act jointly, in accordance with the regulations of each of the two universities.  3. The period for preparing the thesis will be divided between the two universities, as specified on the Annex to this agreement.  4. The thesis must be accepted by both universities, according to its applicable regulations.  The candidate student shall formalize annually the enrolment on the PhD Programme in both institutions and pay the registration fees in accordance with the applicable regulations in each of the Universities.  **Third. Thesis supervision and Examining board (Tribunal)**  1. PhD candidate under the co-tutorship system shall produce his/her thesis under the supervision and responsibility of a thesis supervisor at each of the two universities.    2. Thesis directors and tutors appointed by UAB and the University of … agree to undertake the specific role of supervisor in relation to the candidate as well as the established procedures. According to applicable regulations, the thesis directors must hold PhD title.  The candidate shall carry out his/her research work and write the thesis under the joint supervision of both directions, who undertake to carry out the role of supervisor of the candidate and to evaluate the doctoral thesis by means of separate and individual written reports.  3. The Thesis Committee (Tribunal)shall be nominated after it has been deposited. It shall be formed by members agreed on by both signatory Universities, according to applicable regulations to each of them. The majority of the members of the Tribunal shall be related to universities or institutions alien to the ones participating in the present agreement.  4. If the Tribunal has three regular members, at least one of them must belong to one of the participating universities. If the Tribunal has five or more regular members, at least one of them must belong to the UAB. All members of the Tribunal must be doctors with accredited research experience.  5. The Tribunal shall make its evaluation in accordance with the regulations of the University at which the thesis is defended.  The Tribunal shall exercise its evaluation function in accordance with the regulations of the university where the defence of the thesis is carried out. For Theses not defended at the UAB, there shall be mandatory to fill the document "Defense Act", with a qualification equivalent to the current regulations of the universities signing the agreement.  6. The thesis submitted under the joint supervision regime must be written in one of the languages ​​accepted by the university where the doctoral thesis is defended and must be accompanied by a summary in a language accepted by the other university.  **Fourth. Defence**  1. The fees for the defence of the thesis shall be paid at the University at which it is to be held.  2. The viva voce thesis defence will take place only once at the University of …, within [specify maximum deadline date of defence].  3. UAB shall publish the doctoral thesis online, through TDX website: https://www.tesisenred.net/handle/10803/120 Fifth. PhD Title 1. Following a favourable report by the Tribunal on the basis of a single defence of a PhD thesis, both Universities agree to award the corresponding title of PhD following payment of the issuance fees, as follows:  *At UAB*:  Doctoral degree in ….  *At University of …*:  Doctoral Research degree in ….  1.1. The PhD title awarded by the UAB shall include the mention of co-tutorship with the University of …, according with the applicable legislation.  1.2. Equally, the PhD title awarded by the University of … shall include the mention of co-tutorship with UAB, according with the applicable legislation.  **Sixth. Miscellanea**  1. During his/her stay at either university, the candidate shall be responsible for his/her own health and social security insurance.  2. Both parties, as far as they are able, undertake to provide the necessary means for the proper deployment and execution of this agreement.  3. Protection of the subject of the thesis, its publication, its use and the results of the research of a common nature to the two Departments with which the candidate is working, must be guaranteed in concordance with the specific legislation of each country.  4. Ownership of any intellectual property (including but not limited to confidential information, knowhow, patents, copyrights, design rights, rights relating to computer software, and any other industrial or intellectual property rights) developed on the basis of a joint research program undertaken by the doctoral candidate during the course of this agreement will be determined with a subsequent specific agreement among the Owners of any intellectual property (doctoral candidate and/or home University).  Before any registration or commercialization of any intellectual property takes place, the Owners agree to reach a separate written agreement relating to such registration or commercialization, and will include issues such as exploitation rights and revenue sharing.  Any publication in relation to such intellectual property will only be possible with the prior written consent of Owners, such consent not to be unreasonably withheld.  Publication of research results will require the consent of the advisors of the Owners (Universities and/or the doctoral candidate), within 30 days of receiving the notification. Publications will acknowledge that the research was carried out during the course of the joint Doctoral Degree program between the Universities.  **Seventh. Running of the agreement**  1. This agreement shall enter into force on the day of its signature remain valid as long as the causes and purposes for which it was created are maintained.  2. Without prejudice of the above, the present agreement shall be terminated when either party formally communicates the other its intention to withdraw from the agreement, with a minimum of 3 months advance notice.  3. The present agreement shall also be terminated in the case of failure to accomplish of its clauses by either party, as well as by the general causes established on applicable laws.  **ANNEX – INTERNATIONAL COTUTELLE PROJECT**  **1. PhD CANDIDATE**   |  |  |  | | --- | --- | --- | | 1.1 | Name and surname | ... | | 1.2 | DNI / Passport | ... | | 1.3 | e-mail | ... |   **2. ACADEMIC DATA**   |  |  |  |  | | --- | --- | --- | --- | |  |  | **UAB** | ... | | 2.1 | PhD Programme | ... | ... | | 2.2 | Research line | ... | ... | | 2.3 | Applicable Regulations | RD 99/2011 | ... | | 2.4 | Duration of the PhD, according to applicable regulations | 3 years + 1 year extension + 1 year extraordinary |  | |  |  | ... | |  |  |  | | 2.5 | Admission date on the PhD Programme | ... | ... | | 2.6 | Director(s) | ... | ... | | 2.7 | Tutor (\*optional) | ... | ... | | 2.8 | Stages | - Year 1: [Specify period]  - Year 2: [Specify period]  - Year 3: [Specify period] | - Year 1: [Specify period]  - Year 2: [Specify period]  - Year 3: [Specify period] | | 2.9 | Activities | ... | ... | | 2.10 | Supervision | ... | ... | | 2.11 | Registration and taxes | 20../ ... Admin. ... Acad. ...  20../ ... Admin. ... Acad. ...  20../ ... Admin. ... Acad. ... |  |  1. **THESIS**  |  |  |  |  | | --- | --- | --- | --- | | 3.1 | Thesis title | ... | | | 3.2 | Language of written | ... | | | 3.3 | Language of defence | ... | | | 3.4 | Place of defence | ... | | | 3.5 | Defence report | Each University shall issue its own defence report to be filled by all Tribunal Members. | | | 3.6 | Number of Tribunal members | ... | | | 3.7 | Tribunal expenses | ... | ... | | 3.8 | Other expenses | ... | ... | | 3.9 | Sociosanitary system / assurance | ... | ... |   And as proof of their conformity with the content of this agreement and its annex, both parties hereby sign it in duplicate in the place and on the date stated above.  **For the Universitat Autònoma de Barcelona For the University …**  **Ms. Inmaculada Ponte Marull Mr. / Ms. …**  Director of the Doctoral School Rector    For the purposes of knowledge and compliance with the content of this agreement, the agreement is also signed by the thesis directors / directors, the persons responsible for the doctoral programs of both Universities, and the doctoral candidate student. |
| Prof. [Full name] Prof. [Full name]  *Thesis Supervisor Thesis Supervisor*  Prof. [Full name] Prof. [Full name]  *Responsible of UAB Doctoral Program in* ...  *Responsible of UAB Doctoral Program in* ...  **The PhD Candidate**  [Full name of the candidate] |